

HARDWARE THREAD MANAGEMENT MODELING FOR PRECISION TIMED  
PROCESSORS

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PROCESSORS

By

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## ABSTRACT

Studies recently and currently in progress address timing demands for Cyber Physical Systems (CPS) applications. Certain areas of research seek to modify modern computer architecture to meet the needs of CPS applications. Moreover, specific modifications in current computer architecture have produced newer computer architectures and processors, such as precision timed (PRET) processors. This thesis focuses on identifying, modeling, and simulating thread management methods in hardware used by the current open-source PRET soft processor, the MultiFire.

## DEDICATION

I would like to dedicate this thesis to my first child that will be born in the fall of 2011. Have no regrets; question everything you hear and read; try to see, feel, and understand we're a part of something "bigger;" stay true to yourself; and never settle in life.

## ACKNOWLEDGEMENTS

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## LIST OF ABBREVIATIONS

API, Application Programming Interface

ASIC, Application Specific Integrated Circuit

BRAM, Block RAM

CPS, Cyber Physical Systems

FIFO, First-In First-Out

FPGA, Field Programmable Gate Array

FSM, Finite State Machine

HDL, Hardware Description Language

IPC, Inter-Process Communication

ISR, Interrupt Service Routine

POSIX, Portable Operating System Interface for UNIX

PRET, Precision Timed

RAM, Random Access Memory

RISC, Reduced Instruction Set Computing

RTOS, Real Time Operating System

VHDL, Very-High-Speed Integrated Circuits Hardware Description Language

# CHAPTER I

## INTRODUCTION

Research advances in Cyber Physical Systems (CPS) promise to transform our world with systems that respond more quickly, are more precise, work in dangerous or inaccessible environments, provide large-scale, distributed coordination, are highly efficient, augment human capabilities, and enhance societal wellbeing. Some examples include autonomous collision avoidance; robotic surgery and nano-tolerance manufacturing; autonomous systems for search and rescue; firefighting, and exploration, automated traffic control; zero-net energy buildings; and assistive technologies and ubiquitous healthcare monitoring and delivery [1]. CPS is essentially the conjoining and coordination of physical and computational resources. However, current computing and networking abstractions are not well suited for implementing CPS applications [2]. New abstractions must be developed to incorporate computing and physical processes in a unified way.

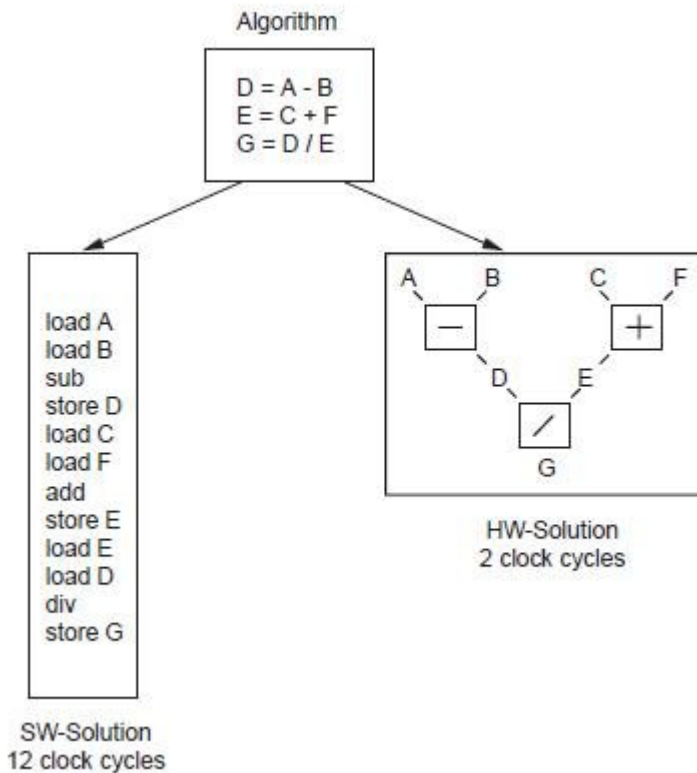
Current methods for computing, networking, and implementing software focus more on average case performance rather than timing predictability. Programming languages such as C or Java have no methods to specify timing constraints. That is, the language does not associate timing with a program. Typically, system components are designed individually and later integrated. In the end, worst-case execution time can be calculated and addressed. While current architectures that employ multi-level caches, branch predictors, deep pipelines, etc improve average case performance, they do not affect worst-case performance [3]. Failures in certain

applications that rely on worst-case execution times could be catastrophic, such as flight controllers for satellites, medical diagnostics equipment for a patient in intensive care, and guidance systems for missiles to name a few. CPS is primarily concerned with how time is addressed. In the physical world, time cannot pause in order to perform calculations, and CPS must operate in real-time in order to adhere to the fact that time is continuous in the physical world. In an effort to achieve the timing requirements of CPS, new processor architectures have been identified to include Precision Timed (PRET) processors.

PRET aims to address timing predictability at the architecture level. Timing predictability is the ability to predict timing properties of the system [3]. Many of the basic elements of a RISC processor are kept while removing other sources of indeterminacy. Conceptually, instructions are fetched, decoded, and executed the same way; however, methods for implementing these tasks can vary. For CPS research, an open source PRET processor is being developed based on the OpenFire soft processor [4]. While many aspects of a processor that affect the execution of tasks can be addressed, such as pipelines, caches, inter-process communications (IPC), and resource allocation, thread management is addressed in this thesis. More specifically, hardware and software interfacing for the scheduling of threads is addressed. Different methods for implementing hardware schedulers are identified, modeled, and analyzed. Motivations behind implementing a hardware management controller include: speedup over software, flexibility, and performance gains directly related to frequency of scheduling operation (to name a few).

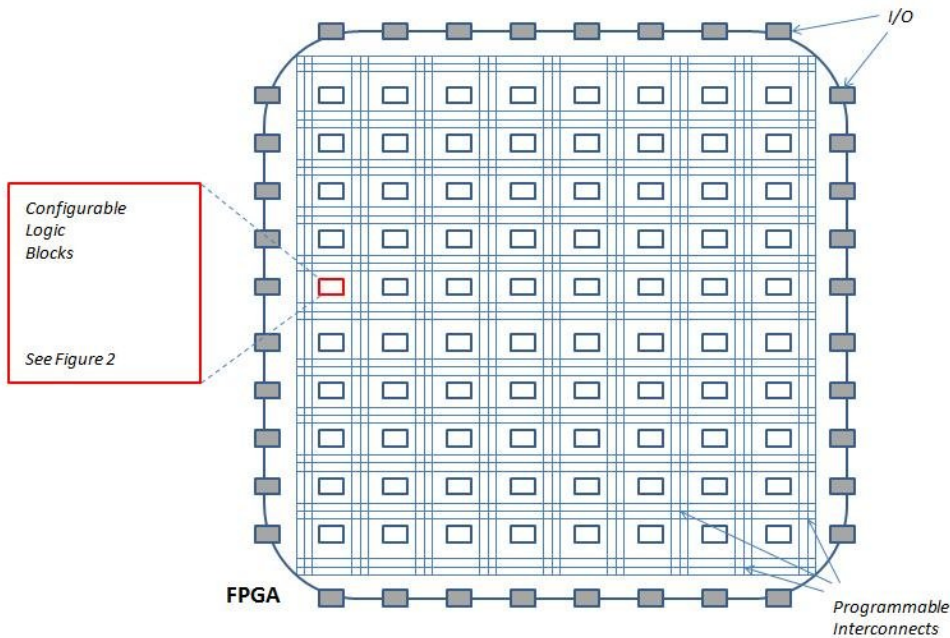
Other than their names, differences exist between software and hardware. Software can be thought of as a set of ordered instructions required to perform a specific task. These instructions can be a source of overhead, especially in real-time systems. If a patient's blood

pressure significantly drops; but, ten instructions must be performed in order to alert the nurse, vital time has been wasted if the instructions take a considerably long time to execute. Hardware can potentially overcome a lot of pitfalls associated with software. Some calculations can be performed in parallel with a CPU if implemented in hardware. Overhead is reduced by not performing a set of instructions. Moreover, hardware is inherently deterministic. That is, execution times in hardware can more easily be calculated when compared to execution times in software. Figure 1 [5] illustrates an example between software and hardware implementing the same algorithm. While the software version can execute the algorithm in 12 clock cycles, additional overhead can exist; however, the hardware version takes 2 clock cycles with little to no additional overhead.

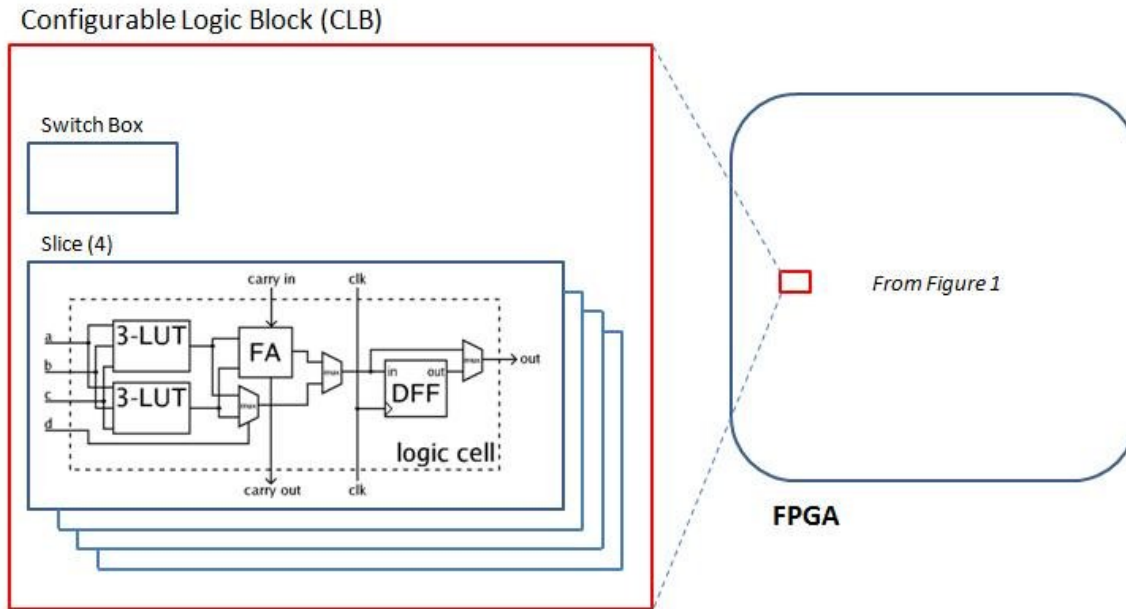


**Figure 1: Software versus Hardware**

While timing predictability is easier to gauge in hardware, many claim that processing time can also be reduced [6]. Moreover, design costs and time-to-market are reduced by using FPGAs. Even though many processors can be reprogrammed to perform specific applications, the reprogramming is done in software. That is, the number of hardware devices, such as multipliers, adders, cache, etc, does not change. Reconfigurable computing devices such as FPGAs can be configured at the hardware level instead of software level. A generic model for a FPGA structure can be seen in Figure 2 and Figure 3 [7]. FPGA configuration is most often specified using a hardware description language (HDL). The most commonly used HDLs are Verilog and VHDL. Specific to this thesis, a soft processor has been created in the logic fabric and general-purpose memory of an FPGA and is used to investigate the need for PRET architectures in CPS applications.



**Figure 2: FPGA Diagram**



**Figure 3: Configurable Logic Block (CLB) Diagram**

As previously stated, a soft processor implemented on a FPGA is created in the logic fabric and general-purpose memory of the FPGA. A soft processor is an intellectual property core that is implemented using logic gates that implement specific circuit behaviors using programmable logic devices. It can be thought of as a software based microprocessor.

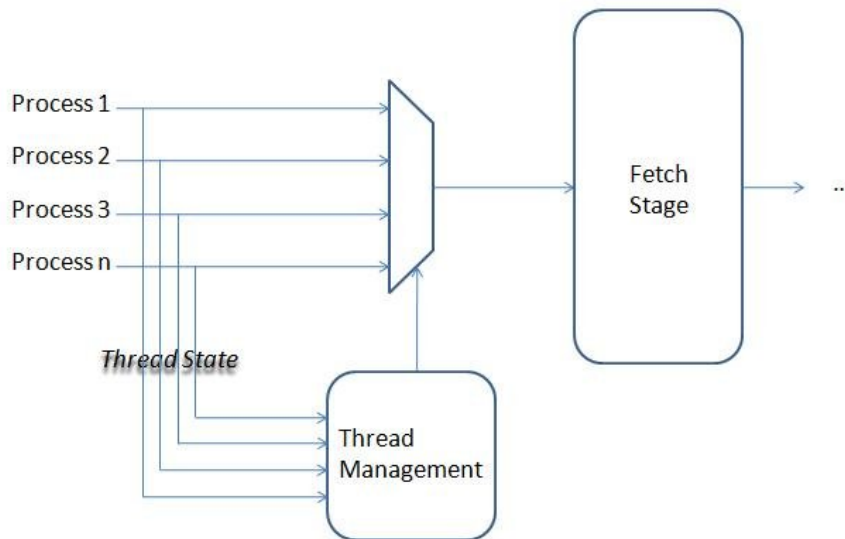
Configurability, fast time to market, ease of intergration, and avoiding obsolescence are features for using a soft processor. An open-source soft processor for FPGA technology currently exists, OpenFire [9], and is being modified for CPS applications. Current modifications to the OpenFire add additional stages to the pipeline. This newer version, MultiFire, saw an increase in performance (36% in area and 69% in maximum frequency) when compared to the OpenFire [4]. While many areas need to be investigated for advancing PRET architecture research, the purpose of this thesis is to identify, model, and simulate thread scheduling methods in hardware for multithreaded applications.

Threads are essentially a set of instructions used to carry-out a specific operation. What is more, multithreading is the process of executing multiple threads on a processor as concurrently as possible. Certain tasks in the physical world are made up of multiple processes that can run in parallel. For example, an autonomous system might interpret speed, temperature, height, and pressure in order to make a decision for a specified task. Each event measured can be considered a thread. That is, the instructions needed to make a speed measurement will be accomplished using one thread, and the instructions needed to make a temperature measurement will be accomplished on another thread. While correctness is important, performing these tasks correctly in real-time is paramount. Specific events in the applications environment determine thread significance. In the example above, measuring temperature may be more critical than measuring speed. Moreover, speed may be measured more often than temperature. Therefore, when temperature is needed, the thread providing temperature data may need to interrupt the thread providing speed data. An overview of thread scheduling can be seen in Figure 4. Each process represents a thread and the thread management block represents managing and scheduling. The purpose of this thesis is to model and simulate methods for scheduling threads in hardware.

Thread scheduling is critical for ensuring certain applications operate in real-time. However, most of the traditional scheduling schemes encountered for multithreaded applications are inefficient for implementing real-time applications. Moreover, context switching (see Chapter 3) adds overhead when using multiple threads. Therefore, efficiently implementing context switches and scheduling threads are paramount in real-time applications. The most common schemes encountered for scheduling and implementing multithreaded applications



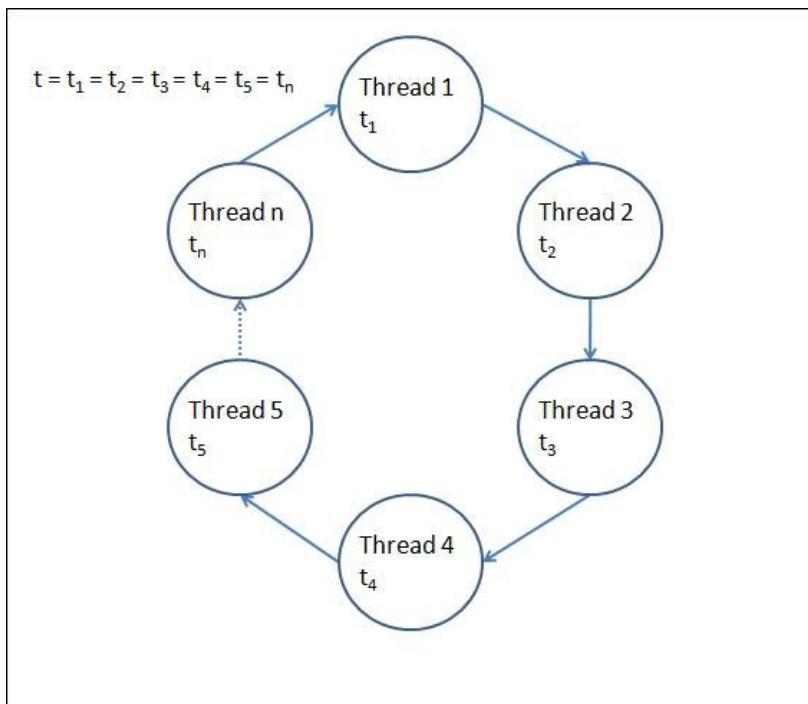
include: round-robin, first-in first-out (FIFO), shortest time remaining, multilevel queue, and priority based scheduling.



**Figure 4: Generic Thread Management**

Current implementation in the MultiFire processor employs a round-robin scheduling scheme; a new instruction is fetched each cycle from a different thread. Round-robin is considered the simplest solution for implementing a thread scheduling algorithm. Equal time-slices are given to each thread and they cycle through the pipeline in a circular fashion [10]. Priorities are not established in a round-robin scheme. See Figure 5 for an example of round-robin scheduling. Each thread is given the same amount of time to execute. Once a time-slice completes, thread state is saved and the next thread in the queue is given the same amount of time to execute. Once the time-slice for the last thread in the queue completes, the first thread is started again. While this method is simple to understand and implement, real-time applications greatly suffer as the number of threads needed increase. The number of threads and the length of each time-slice affect how long one thread will take to complete. In real-time applications,

certain threads may require earlier completion times. While dividing the time equally to execute each thread might seem fair, certain applications might need attention and results sooner. For example, if someone's heart stops beating who is wearing a pacemaker, immediate attention should be given to the circuitry required to keep the person's heart beating. Therefore, more time (i.e. longer time-slices) for certain threads might be needed. Three other traditional scheduling methods (first-in first-out, shortest remaining time, and fixed priority) exist to address the inefficiencies seen in round-robin scheduling schemes. However, they too are sometimes inefficient for implementing real-time applications.



**Figure 5: Round-Robin Scheduling**

In a broad sense, first-in first-out (FIFO) is a concept that allows the first element encountered of a process to be serviced. Some examples include: people waiting in line, a print queue, and electronic buffers. Think of standing in line at a place of business. The person in

front of the line is serviced first. After they are serviced, the next person in line is serviced. This process is repeated until all people have been serviced. The same idea can be seen in a standard print queue. If five people want to print a document at the same time, whoever gives their document to the print queue first will be serviced first regardless of the size of the document. The first document could consist of one hundred pages while the next document in the queue could consist of one page. Regardless, the first document in the print queue will be serviced first. While this method seems the most fair, it proves very inefficient for real-time applications. Throughput is typically low due to long processes filling the queue. Moreover, deadlines are not addressed when executing processes. However, scheduling overhead is typically minimal since context switching only occurs at process termination.

Shortest remaining time scheduling, sometimes called shortest job first, is a concept that allows a process with the shortest time to be serviced first. The same example of standing in line can be used for illustration. When two people enter a line at a business, occasionally the person needing more time allows the other person needing shorter time to be serviced first. For example, occasionally a customer at a grocery store with a cart full of groceries will allow for another person with one item to be serviced first. This easily proves subjective depending on the people involved. Moreover, a real-time application implementing a shortest remaining time scheduling scheme is not subjective. While a person can easily make their plea to move to the front of the line, threads do not have this luxury. A processor does not know the difference between a thread servicing a patient in the hospital and a thread reading a thermometer. This method proves inefficient in a lot of ways. First, processes with longer times suffer. They constantly must wait for shorter processes to complete before being considered. The longer processes are effectively starved of processor time. Second, context switching adds overhead if a

currently running process is interrupted by a process with shorter time. If this happens, the longer process is split in half, potentially many times, allowing for shorter processes to execute. Last, deadlines are not considered. The processing time is simply addressed and considered when determining which process to execute. In order to introduce some form of subjectivity to determine which thread is serviced first, a fixed priority pre-emptive scheduling scheme can be implemented.

Fixed priority pre-emptive scheduling is a concept that applies priorities to processes to determine their order for being serviced. A thread with a higher priority will move up in the run queue. If it is blocked, it will move to a wait queue and the next highest priority thread will be executed in the run queue. Determining the priority for each thread depends on the application. For this report, scheduling priority based threads in hardware will be addressed. Moreover, it is assumed that priorities have already been established for the purpose of this report. That is, prioritizing threads is not of concern for the purpose of this report; however, it is addressed in Chapters 4 and 5. For the purpose of this report, four priorities will be used. The highest three priorities are used for application specific operations, and the fourth priority is used for an idle state. Priorities are needed in order to address the hierarchy of events for a specific process. For example, if a pacemaker senses that the user's heart stops beating, the process needed for starting the user's heart should have highest priority. Moreover, other threads should be halted when this process is needed. For example, if the user's temperature is being measured, it should be halted to allow the process servicing the user's heart activity to run.

Real time operating systems (RTOS) are closely related to PRET architectures and processors. While both are concerned with time, the main difference is that RTOS has minimal interrupt latency where PRET processors must have zero interrupt latency. Moreover, RTOSs

add unnecessary overhead making the execution non-deterministic. In a FPGA application, the hardware portion would be synthesized on the FPGA and the software portion would be performed through the RTOS. The interaction between hardware and software is accomplished through the programming language, which is usually C [11].

Implementing a hardware thread management system on the MultiFire will attempt to eliminate overhead commonly seen in software thread management systems. Moreover, a hardware thread management system will attempt to meet the timing demands for CPS. A design for a hardware thread scheduler will be modeled and compared to software thread management techniques. Scenarios will be used for thread simulation; moreover, threads will have one of four priorities associated with them and will have already been configured.

Chapter 1 introduces the reader to CPS, PRET architectures, Field Programmable Gate Array (FPGA) technology, thread scheduling, and a need for hardware thread management on the MultiFire. Chapter 2 presents literature for current and past research for thread management techniques in both hardware and software. Chapter 3 presents the design methodology for implementing a hardware thread management system on the MultiFire. Chapter 4 presents the results when comparing a hardware thread management system to a software thread management system. Chapter 5 presents a summary of findings and need for future work.

## CHAPTER II

### LITERATURE REVIEW

Timing predictability, or determinacy, is difficult to calculate for software. However, it is much easier for hardware. That is, when writing code for a program, it is difficult to calculate how much time the code needs to execute. However, one can more easily predict timing in hardware. Flops, registers, logic gates, etc can easily produce a timing diagram; or, a timing diagram can easily be generated for hardware. Therefore, implementing a specific method for managing and scheduling threads in hardware versus software seems most logical. While thread management for PRET architectures is not fully developed, RTOS thread management techniques aid with PRET thread management development. Moreover, thread management techniques in hardware assist in the development of PRET thread management. Scheduling, dispatching, IPC, and synchronization are key features of thread management. Scheduling involves determining which process executes; dispatching involves the actual execution of each process; IPC and synchronization assures that each process cooperates with each other using mutexes, semaphores, messages, etc. Current research investigating the need for PRET architectures and CPS exists at numerous universities, most notably Berkley [12] [13] and Vanderbilt University Institute for Software Integrated Systems [14].

Hardware based thread schedulers are not a new concept as seen in [15-23]. Agron [15] [21], Peck [17], and Finley [18] propose a system that uses one thread table in the scheduler. Moreover, scheduling decisions are made using this table and a 128:32 priority encoder which

calculates the highest priority thread within the thread table. Our system differs by proposing the use of multiple ready-to-run queues, or priority queues, as linked lists based on priority. That is, each ready-to-run queue represents a priority. This also addresses the issue of multiple threads with the same priority. If one queue was used, sorting the queue to make scheduling decisions would add overhead to the scheduler. Implementing multiple queues based on priority eliminates this overhead.

Kuacharoen [16] proposes that their thread scheduler use one priority queue for preemptive scheduling. Moreover, the priority queue is to sort and order itself when a thread is added or removed. To eliminate the need of sorting and ordering a list in hardware, which would potentially create timed indeterminacy, our system proposes the use of a number of ready-to-run queues equaling the number of priorities are implemented as linked lists. Sorting and re-ordering each time a thread is added to a queue in hardware can be complex; therefore, a number of ready-to-run queues equaling the number of priorities are implemented as linked lists.

Lee and Daleby [19] propose a hardware RTOS unit called a real-time unit (RTU). This device is comprised of units: scheduler, message passing, interrupt handler, real-time control, an accelerator interface, and a technology dependent bus interface. Moreover, custom applications programming interfaces (API) are used to interface with OS services. Their intent is to be modular, occupy little space, and facilitate interfacing with other busses. Our system utilizes the Portable Operating System Interface for UNIX (POSIX) thread standard, pthreads, which eliminates the need for custom APIs interfacing with OS services. That is, POSIX thread compatible APIs can be used. To facilitate bus interfacing, MultiFire uses one of the same bus standards as MicroBlaze. The primary I/O bus is a traditional system-memory mapped bus with

master and slave capability. MultiFire accesses local memory, BlockRAM (BRAM), directly, which is discussed in Chapter 3.

Silva [20] proposes a system that operates based on asynchronous and synchronous events. These events in addition to task state provided by a general register function together in order to send information to the scheduler. When either type of event occurs, its thread ID is sent to the scheduler as a ready-to-run task. While tasks in this system have priorities, multiple priorities do not exist. That is, no two tasks can have the same priority. The tasks are essentially ranked according to the number of tasks present in the system. Our system incorporates pre-emptive scheduling in which multiple tasks can have the same priority. Moreover, tasks that are ready to run are placed in their respective ready-to-run queue based on priority.

Lu [22] presents a generic design for thread management. Their multithreaded system is managed by thread state in addition to instruction decoding. Their goals are reduced overhead, low context switching penalty, and maintaining single thread performance. By decoding the current instruction and tracking the status of execution and memory access stages, the next thread can be decided using arbitration logic. As the name implies, the arbiter decides which of the many processes seeking access to execute is allowed to execute. Moreover, their design does not address threads with priorities. The decision made in their design is only based on thread state and instruction decoding. Our system differs in that priorities determine which thread is executed next. Moreover, instruction operands and memory status does not affect the scheduling process. The complexity of arbitration logic may be excessive for applications with few threads and few instructions.

Lindh [23] proposes a Fast Time Deterministic HARDware based real-time kernel (FASTHARD). This design claims to support 256 tasks, 8 priorities, and operations for setting



the priority of a thread. In our system, 16 threads and 4 priorities are supported. Moreover, priorities are established prior to run-time or upon thread creation. Once a priority has been established it is not changed; however, future work could address the need for dynamic priorities during runtime.

For the purpose of this thesis, certain assumptions will be made about the system. For example, thread state will be provided to hardware in order to determine which thread is to be executed. Therefore, thread state is produced in software. Moreover, prioritizing threads will be accomplished in software. Specifically, one of the states for each thread includes priority.

Many of the same determinations in this thesis have been addressed in the others listed above. Context switching overhead produced by a scheduling decision in software can be eliminated by implementing the scheduling decision in hardware. The hardware scheduler can be thought of as a coprocessor within the system. Interrupts and scheduling decisions can be handled within this coprocessor (hardware scheduler). Scheduling decisions in hardware can be made prior to interrupts; moreover, better timing predictability can be made of interrupts without impacting the timing of other executing threads. The implementation of the hardware based thread scheduler is that of a finite state machine (FSM); furthermore, a fixed number of clock cycles can be predetermined for the hardware scheduler meeting the needs of PRET architecture and CPS applications.

### CHAPTER III

#### DESIGN

The design method in this thesis proposes two sections for hardware thread management. The first section is a hardware thread scheduler and the second section is a hardware thread manager. The thread scheduler contains the ready-to-run queues and register for next thread to be executed. The thread manager contains the thread table that the thread scheduler interfaces with in order to maintain accurate ready-to-run queues. This interface between the two is also examined. The scheduler and manager and scheduler operate independently of the bus status and work together to provide the next thread to execute. The thread management system overview can be seen in Figure 6.

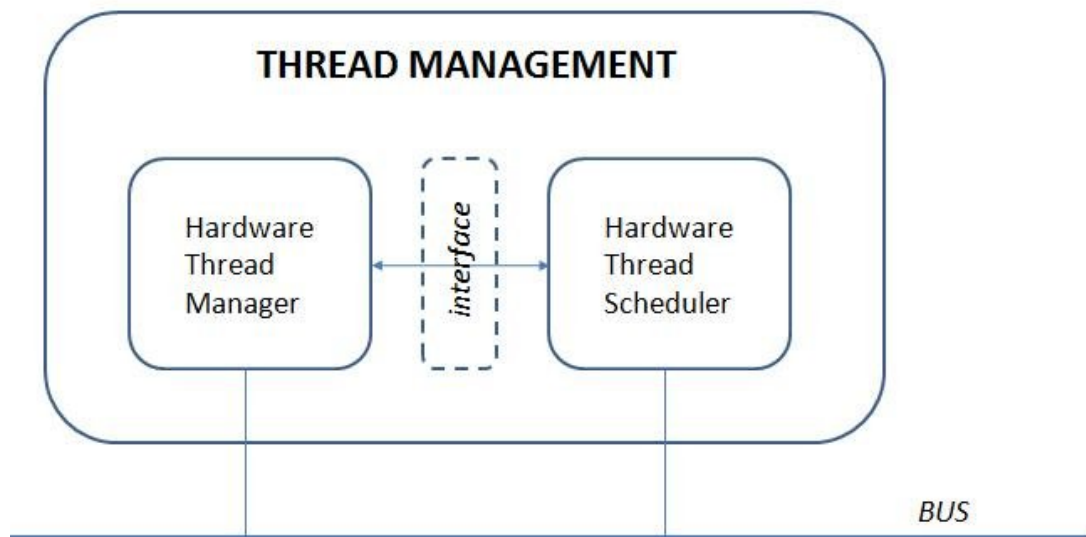


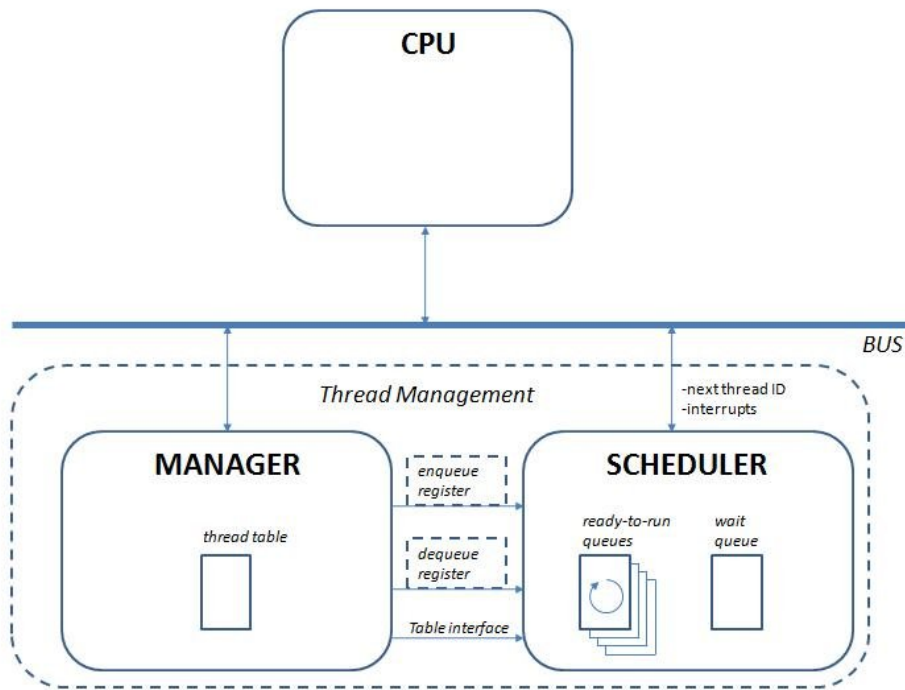
Figure 6: Thread Management Basic Overview

Typically, a context switch for scheduling can be broken into three steps: First, the registers associated with the current operation are pushed on the stack. Second, scheduling the next thread is accomplished. Third, the operations from the original process are popped off the stack. Most of this time is eliminated when scheduling operations in hardware are implemented. That is, the scheduling process operates in parallel with CPU operations, and only a context switch to the next thread ID register is needed. The thread ID is an identifier associated with the thread created by the POSIX/pthread object. For the MultiFire, the register file is implemented using BRAM, and one clock cycle is required for a context switch.

In a conventional system that runs and schedules threads in software, a timer interrupt is used to signal the scheduler (causing a context switch) to make a scheduling decision. The timer interrupt indicates the end of a time-slice for the currently running thread. The scheduling decision usually starts after the timer interrupt. Once the scheduling decision has been made, another context switch is made to the thread that is chosen. The process of interrupting and making two context switches adds latency, indeterminacy, and jitter into the system [15]. Time is wasted by making the scheduling decision after the interrupt. Performing this process in parallel with CPU calculations is the aim for hardware thread scheduling. That is, before an interrupt occurs, the scheduling decision can already be calculated which lessens the amount of overhead associated with scheduling a thread. A hardware thread scheduler eliminates the need for scheduling after an interrupt.

Initially, a main thread is created in the system. A programmer creates additional threads that are needed using POSIX based thread operations. The number of possible threads that can be created is dependent on the implementation. For the purpose of this thesis, 16 or 32 threads are allowed depending on the hardware. By supporting the POSIX based thread operations,

pthreads, a manager will be responsible for tracking the status of each thread on the system and generating a thread table used for scheduling. One BRAM is used for implementing this table. The scheduler will interface to the thread table and generate ready-to-run queues based on thread priorities. A more detailed overview of Figure 6 illustrating the components within the scheduler can be seen in Figure 7.



**Figure 7: Thread Management Overview**

Xilinx implements local memory using large FPGA memory blocks called BRAM [24] [25]. Accesses to BRAM happen in one bus cycle. Depending on the system and bus frequency, BRAM can be the equivalent of implementing L1 and/or L2 cache. If the designer's program fits entirely in BRAM, optimal memory performance can be achieved; albeit, this rarely if ever

happens for a complex application. The BRAM block is configurable and able to attach to a variety of BRAM Interface Controllers.

In order to conveniently identify the running time of a process or algorithm independent of computer architecture or clock cycles, big-O notation is commonly used. It is typically used to indicate an algorithm's usage of computational resources. In this thesis,  $O(1)$  and  $O(n)$  are used to indicate running times of the system. Specifically, they are used for the enqueueing and dequeueing operations of the system.  $O(1)$  indicates a constant time whereas  $O(n)$  indicates the operation is a function of the number,  $n$ , of items (i.e. threads), both of which are independent of computer architecture or clock cycles.

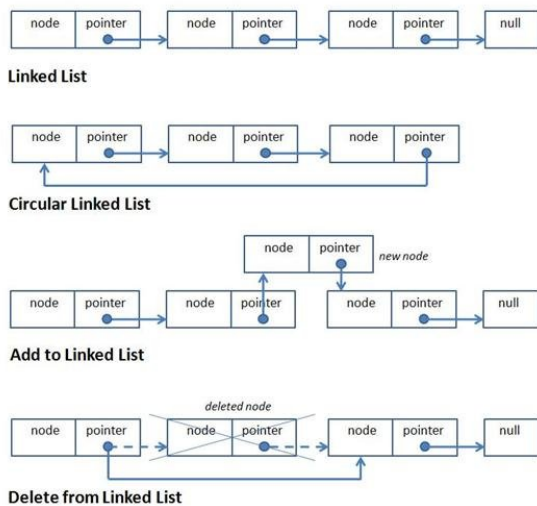
Jitter is associated with scheduling threads in  $O(n)$  time. For example, if one ready-to-run queue was used, a scheduling decision would require  $O(n)$  time for a sorted insert operation. That is, the queue has to be traversed for scheduling decisions  $n$  times due to  $n$  threads. Specifically, less time would be required for scheduling fewer threads. But, if the list of entries grew, the time required to make a scheduling decision would also grow. The implementation of multiple ready-to-run queues attempts to solve the jitter problem by making a scheduling decision in  $O(1)$  time. In order to allow  $O(1)$  time for making a scheduling decision, ready-to-run queues representing priorities are used. Moreover, by keeping the queues in FIFO order,  $O(1)$  time can be accomplished. A pointer, in hardware, is used to point to the head of each ready-to-run queue. Each thread contains a pointer that points to the next thread in the queue. BRAMs are used to implement the ready-to-run queues. Each queue contains rows of thread information shown in Table 1. A head pointer points to the current head of the queue.

**Table 1: Thread Attribute Entry**

Thread Attribute Entry																																	
31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0		
Thread ID								Pointer								Q																	

Bits	Purpose
31 - 24	Thread ID
23 - 16	Pointer to next thread in queue
15	Queued or Dequeued

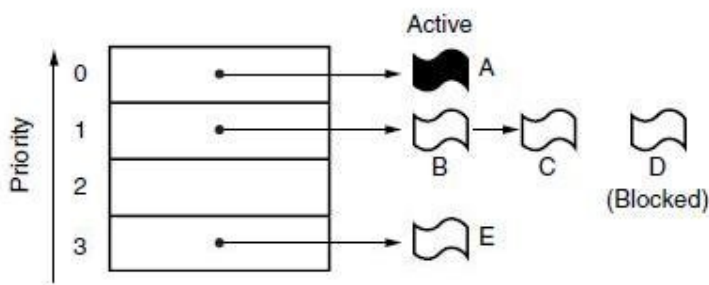
In order to add or delete threads from a queue with as little overhead as possible to facilitate accurate time determination, a linked list can be implemented in hardware. A linked list is a data structure that consists of a sequence of nodes where each node contains a link for the next node in the sequence. Typically, the link is a pointer in memory to the next node. For the purpose of this thesis, circular linked lists are used for implementing ready-to-run queues which facilitate round-robin processing. An illustration of a linked list, circular linked list, adding a node to a linked list, and deleting a node from a linked list can be seen in Figure 8.



**Figure 8: Linked List Example**

Implementing a linked list eliminates the need for sorting, which can be very complicated in hardware. Moreover, implementing a priority based ready-to-run queue eliminates the need for a sorted insert operation. That is, if one queue was used to store all ready-to-run threads, a sorted insert operation would be needed each time a priority thread were added to the queue. When one thread is removed, or blocked, deleting one element from a linked list allows for its predecessor and successor to be linked together. Therefore, when the ready-to-run queue is cycled through, clock cycles will not be lost due to an unfilled spot in the list.

For the purpose of this thesis, fixed priority pre-emptive scheduling is modeled. Specifically, four priorities are allowed for fixed priority pre-emptive scheduling. Moreover, a ready-to-run queue, or priority queue, exists for each priority and are used to hold the threads that are to be scheduled. Each ready-to-run queue is cycled through in a round-robin fashion at a set time-slice, and they provide the CPU with the next thread ID. If the first ready-to-run queue is empty, it is passed over and the next ready-to-run queue is cycled through. Specifically, if the first pointer in the list is null, the queue is empty. If a thread is blocked, it will be sent to a wait queue until it is unblocked. The first three priorities, 0 – 2, are used for application specific processes and the fourth priority, 3, is used for an idle state. A fifth queue is used only for blocked threads. An illustration of a ready-to-run queue is seen in Figure 9 [26].



**Figure 9: Ready-to-Run Queue Example**

In Figure 9, each ready-to-run queue is represented by the numbers 0 - 3 to the left of the column. Each thread is represented by the letters A - E. Note that threads B - D have the same priority. These will execute in a round-robin format. That is, the thread IDs for threads B - D will be provided to the CPU in a round-robin format.

Depending on the events associated with the main program, additional threads can be spawned from the main program. If the additional threads are needed, the thread manager receives direction from the main program to add them to the system. The thread manager, using the POSIX based thread API, adds a thread to the system and develops a thread table which is implemented using BRAM. Then, the thread manager enqueues and dequeues threads to and from the ready-to-run queues. Specific operations related to the thread manager and core access are offset from the base address of the thread manager. Table 2 lists some of the basic operations needed for implementing a thread management system.

The enqueue operation adds a thread to a ready-to-run queue within the scheduler. The dequeue operation removes a thread from the ready-to-run queue operation and places it in the next thread ID register. Moreover, a thread can be either placed in the wait queue if blocked or deleted altogether. Registers are used to hold the thread IDs for an enqueue or dequeue operation. A thread is enqueued to its respective ready-to-run queue in FIFO order. That is, a thread is enqueued to the tail of its respective ready-to-run queue and can be done in  $O(1)$  time. This eliminates the need for a sorted insert operation. Even though the ready-to-run queue is executed in a round-robin format, the threads are stored in FIFO order. A thread is dequeued from a ready-to-run queue from the head of the list and can be done in  $O(1)$  time. The enqueue and dequeue operations are performed through the interface between the scheduler and manager.



A thread will be only enqueued or dequeued from the tail or head, respectively, of a ready-to-run queue. An example illustrating the sequence of an enqueue and dequeue operation is depicted in Figures 10 – 12. Figure 10 is an overview for Figures 11 and 12. Figure 12 is a pseudo state diagram of the enqueue and dequeue operation.

**Table 2: Basic Thread Management Operations**

Operation	Address	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0	
add_thread	0000_0000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	TID	TID	TID	TID	TID	TID	TID	TID	TID
del_thread	0000_0100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
block_thread	0000_0200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0
que_length	0000_0400	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	PRI	PRI	PRI
id_thread	0000_0800	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0

*TID: Thread ID to be enqueued*

*add\_thread: Adds thread specified by TID*

*del\_thread: Delete current thread*

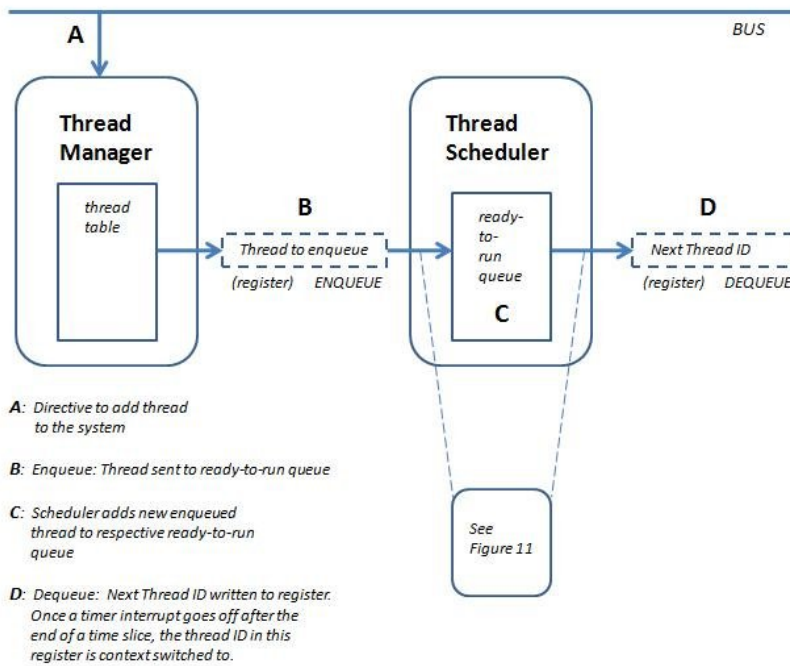
*block\_thread: Block current thread*

*que\_length: Get que length specified by PRI*

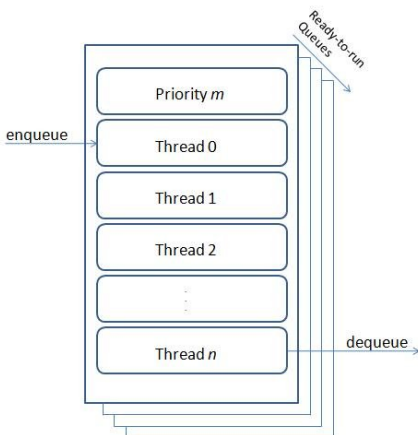
*id\_thread: Get ID of thread*

Step A represents the addition of a thread to the system. Once added, the thread manager builds a table of currently running threads on the system. They could originate from many areas of the system depending on specific events within the system. The scope of this thesis is not concerned with their origin. Step B and C represents the enqueue operation of the thread management system. Once a thread is added to the thread manager table, an enqueue request is sent to the scheduler to add a thread to its respective ready-to-run queue. Step D indicates the dequeue operation. The dequeue operation is the result of a scheduling decision. Specifically, once a thread is added to a ready-to-run queue, a scheduling decision is made. Once the decision is made, a thread ID is placed in a register. This thread ID represents the next thread to run on the system. Once the time-slice of the currently running thread ends, a context switch to the next thread ID register is made. If a low priority thread is running and halts at the end of its time-

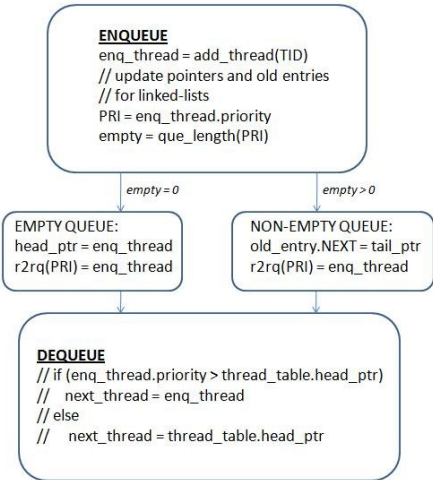
slice, a context switch can be made to another other priority thread. Currently, only external interrupts halt the currently running thread prior to the end of a time-slice. That is, if a higher priority thread is scheduled to run next, it waits until the end of the time-slice of the currently running thread.



**Figure 10: Enqueue and Dequeue Example**

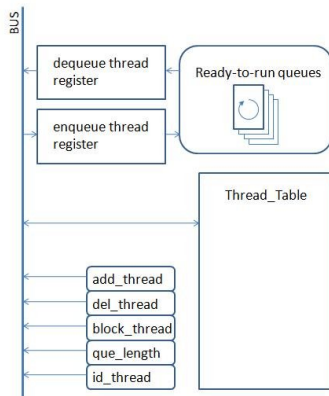


**Figure 11: Enqueue and Dequeue at Ready-to-Run Queue**



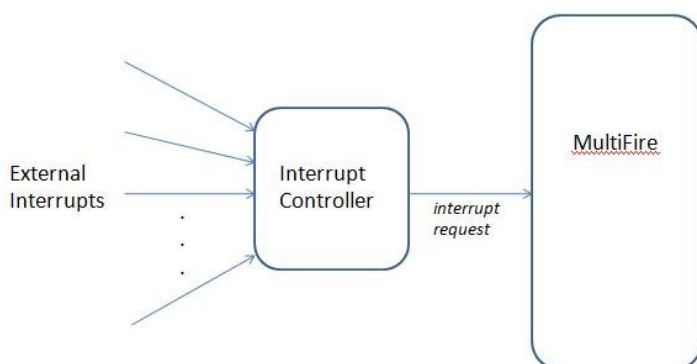
**Figure 12: Pseudo State Diagram of Enqueue and Dequeue Operations**

Two registers are associated with storing thread IDs for enqueueing and dequeueing operations as described in previous paragraphs in this Chapter. When a thread is to be added to a ready-to-run queue, its thread ID is stored in a register to be accessed by the scheduler. Furthermore, when a thread is dequeued, its thread ID is stored in a register in order to be read by an ISR. The registers are implemented using BRAM and are 32 bits in width. Figure 13 displays the relationship between these two registers, the operations shown in Table 2, the scheduler, and the bus.



**Figure 13: Basic Element Block Diagram**

As stated in Craven [4], of particular interest is the ability to instantly service interrupts on one thread without impacting the timing of other executing threads. As seen in Figure 7, interrupts are also handled by the scheduler. When an external interrupt occurs, a new thread must be created. A single interrupt request input to the MultiFire system processor is available. In order to facilitate many interrupts (up to 32), an interrupt controller is incorporated. Priority between interrupt requests is determined by vector position. That is, the least significant bit (LSB) has the highest priority, and the most significant bit (MSB) has the lowest priority. Therefore, the controller focuses all external interrupts into one output, which is used as the interrupt request. Upon the reception of an interrupt request, an interrupt service routine (ISR) is generated. This ISR is considered a thread with the highest priority and is simply scheduled during an external interrupt request. An illustration of the interrupt controller can be seen in Figure 14. Treating the ISR as a thread that needs to be scheduled attempts to solve timing indeterminacy related to servicing interrupts; furthermore, little to no impact of timing is made to other executing threads. One advantage for this method of implementation is a time determinant ISR. While some overhead is seen, it can easily be calculated in hardware.



**Figure 14: Interrupt Controller**

Both the thread manager and thread scheduler interface to the CPU via a memory-mapped bus. That is, memory addresses will be used to define and access the scheduler and the attributes associated with it. Certain operations can lock the bus; therefore, the manager and scheduler interface through a set of registers directly. That is, the manager and scheduler operate independently of the bus status. Registers indicating the currently running thread and the next thread to run are needed. Moreover, an interface allowing the scheduler to access thread information from the manager is also needed. Thread information in the manager is stored in BRAM; therefore, the scheduler will need a port in order to access this information. This also facilitates with time determinacy. Scheduling operations such as determining the priority of a thread is accomplished via the bus interface.

## CHAPTER IV

### RESULTS

The main advantage to implementing a thread management system in hardware versus software is the ability to better predict timing. That is, the time required to perform certain operations in hardware is easier to predict than operations performed in software. Specifically, the overhead associated with making a scheduling decision in software is difficult. Many factors are attributed to overhead for software implementations, such as compiler technology, programmer competency, programming language, etc. However, hardware implementations are much easier to predict.

Implementing ready-to-run queues as linked-lists can result in fragmented memory. This is especially true if different lists of different size nodes are used. Providing the system with an efficient insert operation will most likely take away the  $O(1)$  run time. At this time, maintaining  $O(1)$  run time is deemed more important than implementing an efficient insert or defragment operation.

Implementing multiple ready-to-run queues based on priority level versus implementing one ready-to-run queue attempts to solve the jitter problem associated with making a scheduling decision. The multiple ready-to-run queue structure has  $O(1)$  time for scheduling threads versus  $O(n)$  time for one ready-to-run queue. To update the ready-to-run queues, thread state information stored in the thread manager is accessed via the thread scheduler. The thread state information is implemented using BRAM. The ready-to-run queues are implemented using

linked lists. BRAMs require one clock cycle to write and read. Since the ready-to-run queue structure has  $O(1)$  time for making scheduling operations, enqueueing and dequeueing require one clock cycle.

Zero interrupt latency is achieved by allowing interrupts to be handled by the scheduler directly. Treating the ISR as a thread needing to be scheduled attempts to solve timing indeterminacy related to servicing interrupts; furthermore, little to no impact on timing is made to other executing threads. A time determinant ISR is the outcome of this implementation. One clock cycle is attributed with enqueueing a thread to the ready-to-run queue, which is what is done when an ISR is scheduled. Furthermore, the number of threads running on the system has no effect on the amount of time required for scheduling operations by implementing multiple ready-to-run queues based on priority.

The number of cycles required for an associated thread or interrupt is affected by the actual thread or interrupt itself. This variability is lessened by implementing the thread management system in hardware versus software. According to Lee and Daleby [19], approximately 536 cycles are necessary for a context switch related to a software scheduling operation for one thread. Stergiou et al [27] claim that approximately 132 cycles are necessary for context switching associated with an interrupt. Moreover, depending on the operation, additional cycles can be encountered. The additional cycles are encountered from the CPU interacting with memory. A hardware implementation reduces this time by keeping the memory bus free from the CPU. Potdar [28] makes the claim that software scheduling time varies between 50 and 350 microseconds depending on the number of threads. Table 3 displays a software implementation values versus hardware implementation values for making a scheduling

decision of one thread. Table 4 displays the implementation results for making specific scheduling decisions in hardware.

**Table 3: Software versus Hardware Implementations**

Author	Software	Hardware
Lee and Daleby [19]	536 cycles	
Stergiou et al[27]	132 cycles	10 cycles
Potdar [28]	50-350 cycles	2 - 27 cycles
Agron [15]		24 cycles
Kuacharoen [16]		13 cycles

**Table 4: MultiFire Clock Cycles**

Operation	MultiFire (clock cycles)
BRAM read	1
BRAM write	1
Context Switch	1
Enqueue	1
Dequeue	1

The main cost of implementing a hardware thread management system is the additional amount of memory, or BRAMs. Each ready-to-run queue is implemented using BRAM; therefore, 5 BRAMs are needed for 4 ready-to-run queues and 1 wait queue. Additionally, 2 registers are needed for enqueueing and dequeueing operations. All literature encountered during the course of this research conclude that a thread management system implemented in hardware is more efficient than software methods. Furthermore, most real-time applications achieve greater results by employing a hardware thread management system.



## CHAPTER V

### CONCLUSION

In summary, a hardware thread management system is more efficient than a software thread management system. That is, a hardware implementation is time determinant for both interrupts and normal threads. The manager and scheduler act primarily independent from the rest of the system. That is, once the manager saves thread state information, the scheduler accesses this information, adds threads to their respective ready-to-run queues, and provides the next thread to run regardless the status of the bus. Implementing this in hardware facilitates time determinacy. That is, the time required for reading data from BRAM, calculating cycles of a state machine, and traversing linked lists can be calculated with high accuracy when implemented in hardware.

Future work will include implementing a thread management scheme and comparing theoretical results to actual results. Other considerations for future work include: cache implementation and application development.

Implementing this model is the next important step in this design process. Much can be learned by quantifying results from an implemented version. Moreover, improvements can be made to this thesis by identifying any shortcomings and/or errors upon implementation.

Implementing cache will allow instructions to be accessed quicker, which is the main reason why cache is implemented in all systems; that is, less time to access to data. Specifically, if cache is implemented using BRAM, data can be written in one clock cycle and read in two

clock cycles. Moreover, replacement policies and associativity need to be identified. Certain applications might require specific sizes with specific features in order to take advantage of certain localities of reference (i.e. spatial or temporal).

Determining CPS based applications are a consideration for future work. To validate a thread management scheme, or even a final proof concept, testing it with an actual application is advantageous. That is, many lessons can be learned by investigating system behavior since theory does not always match reality.

This thesis presents a model for implementing and understanding a hardware thread management system. Zero interrupt latency and minimal thread scheduling time has been addressed. Specifically, implementations attempting to meet  $O(1)$  time are the basis for modeling in this thesis. Multiple ready-to-run queues based on priority permit this requirement. Moreover, enqueueing and dequeueing threads in FIFO order permit this as well. The only cost (the main cost) associated with implementing a hardware thread management system is space. That is, the amount of memory, or BRAMs, is critical to the implementation. Based on the research from others (as seen in Chapter 2) and the research gathered in this thesis, it is clear that implementing a thread management system in hardware is more efficient than software.

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